

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kurt Stouffer Lehmann, et al.

Appln. No.:

Filed: concurrently herewith

For: ACTIVE ROLLOVER
PROTECTION

Attorney Docket No: 10543-070

Examiner: Unknown

Art Unit:

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Patentee/Applicant/Assignee
2002/0139599 A1	10/03/02	Lu et al.
2003/0065430 A1	04/03/03	Lu et al.
2003/0100979 A1	05/29/03	Lu et al.
2003/0130775 A1	06/10/03	Lu et al.
2003/0130778 A1	07/10/03	Hrovat et al.
5,742,918	04/21/98	Ashrafi et al.
5,742,919	04/21/98	Ashrafi et al.
5,787,375	07/28/98	Madau et al.
5,790,966	08/04/98	Madau et al.
5,809,434	09/15/98	Ashrafi et al.
5,852,787	12/22/98	Fodor et al.
5,948,027	09/07/99	Oliver, Jr. et al.
5,971,503	10/26/99	Joyce et al.
6,122,568	09/19/00	Madau et al.
6,158,274	12/12/00	Guo et al.
6,169,939	01/02/01	Raad et al.
6,220,095	04/24/01	Fennel et al.
6,233,505	05/15/01	Kranz et al.
6,249,721	06/19/01	Lohberg et al.
6,263,261	07/17/01	Brown et al.
6,282,474	08/28/01	Chou et al.
6,324,446	11/27/01	Brown et al.
6,327,526	12/04/01	Hagan
6,330,496	12/11/01	Latarnik et al.

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6,332,104	12/18/01	Brown et al.
6,338,012	01/08/02	Brown et al.
6,347,541	02/19/02	Maleki
6,351,694	02/26/02	Tseng et al.
6,353,777	03/05/02	Harmison et al.
6,356,188	03/12/02	Meyers et al.
6,364,435	04/02/02	Gronau et al.
6,366,844	04/02/02	Woywod et al.
6,374,163	04/16/02	Lou et al.
6,397,127	05/28/02	Meyers et al.
6,409,286	06/25/02	Fennel
6,424,907	07/23/02	Rieth et al.
6,434,451	08/13/02	Lohberg et al.
6,435,626	08/20/02	Kostadina
6,438,464	08/20/02	Woywod et al.
6,471,218	10/29/02	Burdock et al.
6,477,480	11/05/02	Tseng et al.
6,496,758	12/17/02	Rhode et al.
6,526,334	02/25/03	Latarnik et al.
6,526,342	02/25/03	Burdock et al.
6,529,803	03/04/03	Meyers et al.
6,554,293	04/29/03	Fennel et al.
6,556,908	04/29/03	Lu et al.
6,593,849	07/15/03	Chubb et al.
6,614,343	09/02/03	Fennel et al.
6,631,317	10/07/03	Lu et al.
6,654,674	11/25/03	Lu et al.
6,671,595	12/30/03	Lu et al.
WO 97/47485	18 Dec 1997	ITT Manufacturing
WO 99/30941	24 June 1999	Continental Teves AG & Co.
WO 99/30942	24 June 1999	Continental Teves AG & Co.
WO 00/03887	27 Jan 2000	Continental Teves AG & Co.
WO 00/03900	27 Jan 2000	Continental Teves AG & Co.
WO 01/12483 A1	22 Feb 2001	Cotinental Teves AG & Co.
WO 02/100696 A1	19 Dec 2002	Continental Teves AG & Co.
WO 02/36401 A1	10 Mar 2002	Continental Teves AG & Co.
WO 03/002392 A1	9 Jan 2003	Continental Teves AG & Co.

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

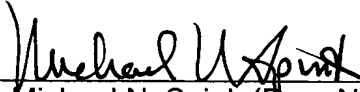
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

3/23/04

Date



Michael N. Spink (Reg. No. 47,107)
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (two sheets)
Copies of foreign listed references

FORM PTO-1449	APPLICATION NO.	CASE NO. 10543-070
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Concurrently herewith	ART UNIT
APPLICANT(S): Kurt S. Lehmann et al.		

REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	2002/0139599 A1	10/03/02	Lu et al.		02/21/01
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FOREIGN PATENT DOCUMENTS

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	WO 97/47485	18 Dec 1997	PCT		Abstract
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	WO 00/03887	27 Jan 2000	PCT		Abstract
	WO 00/03900	27 Jan 2000	PCT		Abstract
	WO 01/12483 A1	22 Feb 2001	PCT		Abstract

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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